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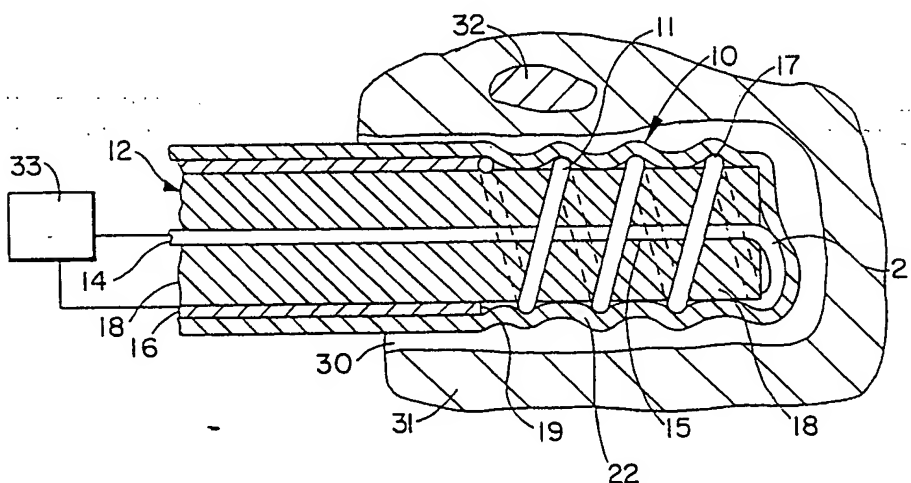


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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DOC

(54) Title: THERAPEUTIC PROBE FOR RADIATING MICROWAVE AND NUCLEAR RADIATION



## (57) Abstract

A microwave radiator (11) formed of a radioactive substance and adapted to simultaneously deliver microwave energy and nuclear radiation to living tissue (32). The radiator (11) is incorporated into an interstitial or intracavitary probe (10). The radiator (11) is a helical electrical conductor formed of a radioactive substance such as iridium<sup>192</sup> and electrically connecting a central electrical conductor (14) to a coaxial electrically-conducting jacket (16).

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THERAPEUTIC PROBE FOR RADIATING MICROWAVE  
AND NUCLEAR RADIATION

BACKGROUND OF THE INVENTION

5                   This invention relates in general to methods and means for therapeutic medical treatment. More particularly, the invention involves an interstitial or intracavitary probe containing an antenna or radiator adapted to deliver microwave energy and nuclear radiation to living tissue within a human or animal body. The invention includes a novel method for simultaneously delivering these forms of energy  
10                   within ranges which are capable of destroying tumorous tissue while avoiding damage to viable tissue. This invention provides an efficient and effective means for implementing the synergistic necrotic effects of the simultaneous application of hyperthermia and nuclear radiation to tumors, and in particular deeply internal tumors, and particularly tumors of the brain, breast, esophagus, colon-rectum, cervix,  
15                   vagina, bile duct, bronchus, and other sites now considered for interstitial or intracavitary radiation therapy.

                  In cancer therapy, failure to control local disease is a major cause of mortality and morbidity. Persistent local disease following conventional therapy occurs in about 30% of all the cases. Attempts at improving local control by  
20                   radiosensitizers or combinations of chemo/radiotherapy have not had a significant impact. In cases where high doses of radiation can be delivered, the local control or cure rates have been excellent. In most cases, the dose limiting factor is the tolerance of the surrounding tissue. In order to overcome this difficulty, interstitial or intracavitary irradiation has been used for decades as an adjunct to external  
25                   irradiation. At present, there is a resurgence in the use of intracavitary and interstitial irradiation due to several factors. These include the utilization of new radionuclides, the realization that the expected results of radiosensitizers or chemotherapy has not

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materialized, and the development of expertise by radiologists in the techniques of interventional radiology.

5 The results of previous studies using radiation and hyperthermia suggest that the response rates are double the response rate from radiation alone. It seems that the combination of hyperthermia and interstitial irradiation would be of benefit. Regions which would benefit the most from a hyperthermia-interstitial radiotherapy approach would include those cases where there is a high probability of local failure following external irradiation. Examples include brain tumors, sarcomas, melanomas, renal cell carcinoma, advanced head and neck and cervical cancer. Hyperthermia  
10 interstitial radiotherapy is also beneficial in treating cases where the surrounding tolerance of normal tissue limits the doses of external irradiation and hence curative external doses cannot be delivered. Examples would include carcinoma of the pancreas, esophagus, gall bladder and common bile duct.

15 The principle advantage of a technique combining interstitial radiation and hyperthermia would be the administration of irradiation and heat to a limited tumor volume while sparing the surrounding normal tissues.

At present, interstitial stereotactic radiotherapy in brain tumors is in progress. The development of an interstitial dual modality probe would provide an opportunity to enhance the radiation effectiveness in gliomas which are notorious for  
20 their radioresistance.

Cancer of the esophagus is rarely cured by irradiation although palliation is easily achieved. In Britain, several centers have adopted a new afterloading applicator to improve their results by intracavitary irradiation. Their aim  
25 is:

1. To convert inoperable patients to operable patients
2. Irradiate the entire esophagus with a localized high dose
3. Boost external beam therapy
4. Obtain cheap, quick palliation in incurable cases

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Combining these techniques with hyperthermia should result in significant clinical improvement.

Intracavitary techniques can also be used to improve the results in carcinoma of the common bile duct. Several recent reports have shown that combined external and interstitial irradiation is producing better results than surgery or irradiation alone. When combined with hyperthermia, intracavitary results should be even more impressive.

Limited lung cancer that is non-resectable due to adherence to surrounding structures are benefitted by interstitial radiotherapy. Again, combination with hyperthermia should yield better results.

Pancreatic cancers at present are treated mainly for palliation because of poor tolerance of surrounding tissue to external irradiation. Interstitial radiotherapy with hyperthermia should prove beneficial since most of those patients, many of whom die from local failure, undergo surgery and could have interstitial radiotherapy combined with local hyperthermia.

Often, potentially resectable tumors cannot be totally resected because the disease is adjacent to sensitive organs such as blood vessels or nerves. In these cases, external irradiation is often limited to the tolerance of surrounding tissue. The use of both hyperthermia and interstitial radiotherapy will allow curative doses of radiotherapy and a reduced dose to normal tissues due to the interstitial approach to radiation therapy.

Other areas where local control of disease is less than optimal includes recurrent head and breast cancer. In all of these cases, interstitial radiotherapy and hyperthermia should result in improved local control and better osmesis.

In short, the dual modality probe could initially be used in cases of recurrent tumors or accessible superficial lesions where implantation is indicated. It has wide implication for palliation, adjuvant and curative treatment.

The use of interstitial techniques in hyperthermia was suggested in 1975. Because of the complexity of the treatments and the collaboration required between disciplines of clinical hyperthermia and brachytherapy, very few centers have

been dealing with these interstitial procedures.

The hyperthermia group at Tuscon (University of Arizona) was one of the first to develop clinical interstitial forms of thermo-radiotherapy. They used resistive diathermy by means of radiofrequency electric currents (in a range .5 - 1 MHz) driven between pairs of arrays of implanted metallic needles. These needles were then loaded with Ir<sup>192</sup> wires, the complimentary radiation dose being approximately half the value of the usual "curative" brachytherapy dose.

Considering the encouraging preliminary results obtained in Tucson, several groups began to use interstitial resistive diathermy either in the same way or with modified techniques.

At the same time, other centers were developing means of producing interstitial thermotherapy using radiative heating by means of microwave antenna inserted into implanted plastic catheters using a frequency range from 300-1000 MHz. In general, this technique was followed by brachytherapy.

Other groups, particularly in the United States, are studying the use of implanted ferromagnetic seeds which can be inductively heated by high frequency, alternating magnetic fields. Newly designed, self-regulating thermoseeds using a Curie point switch have shown some promise.

In the data obtained in these centers, it appeared that interstitial techniques were able to achieve the required increase in tumor temperature in a large number of cases. In addition, the distribution of temperature was usually satisfactory with acceptable temperature profiles in the heated volume. The development of a technique as proposed in this document will ultimately make this thermo-radiation therapy approach more appealing to many physicians because of reduced complexity, ease of use and commercial availability of proven technology.

Hyperthermia has been studied extensively to determine its efficacy in the treatment of human neoplasm. In vitro work has demonstrated that hyperthermia can kill mammalian cells in a temperature and time dependent manner. The degree of cell kill has been shown to depend upon many factors. The microenvironment of the tumor is often conducive to hyperthermia cell killing because of such factors as



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low pH, anoxia, nutrient deficiency and altered cell cycle distribution. Hyperthermia as a sole modality is felt to have little potential value, but in combination with radiotherapy, preliminary studies are very positive.

The exact mechanism for thermal radio sensitization is not known. However, the mechanism is known to be synergistic and has a time-temperature dependence. The complimentary nature of these two modalities must not be understated. Typically, the conditions for radioresistance such as hypoxia, low pH, and cells in S phase are the conditions under which hyperthermia is most effective.

A significant amount of data has been compiled to describe (if not explain) the synergistic nature of thermal radiotherapy. The reduction of the radiation survival curve would indicate an inhibition in the cells ability to accumulate and repair sublethal damage (SLD).

The rationale for the use of hyperthermia in conjunction with radiotherapy is well established. The role of hyperthermia in combination with interstitial low dose rate (LODR) radiotherapy may be even more important. It is well known that the combined application of heat and radiotherapy is more effective than exclusive use of either therapy. Simultaneous treatment of heat and radiotherapy is inherent with the type of probe proposed. A second very important reason for designing a probe of the nature being proposed is the correlation between thermal sensitization and radiation damage as a function of radiation dose rate. Several studies have shown that the degree of thermal enhancement of radiation sensitivity is greater at low dose rates. For intracavitary and interstitial radiotherapy, the dose rate at the tumor periphery will be of the order of 40 rads/hour, which is typical of LDR radiotherapy.

Thus, while the therapeutic value of the combined application of nuclear radiation and hyperthermia are recognized, the systems for providing this synergistic benefit have been primarily of an experimental nature and characterized by complex, expensive, and cumbersome equipment inappropriate for use in day to day clinical environment.

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These and other difficulties experienced with prior art devices have been obviated in a novel manner by the present invention.

It is, therefore, an outstanding object of this invention to provide a compact antenna or radiator capable of simultaneously delivering microwave energy and nuclear radiation to tissue within a living organism.

Another object of this invention is the provision of a microwave and nuclear radiator which is simple in construction and use and capable of a long and useful life with a minimum of maintenance and expense.

With these and other objects in view, as will be apparent to those skilled in the art, the invention resides in the combination of parts set forth in the specification and covered by the claims appended hereto.

#### SUMMARY OF THE INVENTION

This invention is a therapeutic system for the simultaneous delivery of microwave energy and nuclear radiation to tissue within a living organism in order to provide synergistic hyperthermia and radiation treatment to the tissue. The system allows an optimization which maximizes therapeutic effect while minimizing undesirable side-effects. The system includes a conventional microwave generator and a conventional conduit which delivers microwave energy to a novel probe. The probe, which is adapted for interstitial or intracavitary invasion of the living organism, includes a novel antenna or radiator. The radiator is of conventional microwave radiator designed except that it includes a source of nuclear radiation. More specifically, at least a portion the radiator is actually formed of a radioactive substance and preferably iridium<sup>192</sup>. The radioactive substance may be either all or a part of the helical element of a conventional microwave radiator, may form the central conductor of a conventional microwave radiator or may be fitted within a hollow core of the central conductor.

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The probe is inserted into the living organism and placed adjacent the tissue to be treated. Then the radiator within the probe is caused to radiate microwave energy which causes hyperthermia within the target tissue. Simultaneously, the radioactive substance within the probe radiates nuclear radiation which treats the target tissue.

In a typical application, the radiator would be at the end of a coaxial cable having a central conductor and a coaxial conducting jacket. The radiator would be an iridium<sup>192</sup> wire formed in a helix coaxial with the central conductor, extending beyond the end of the conduit, and electrically connecting the central conductor with the jacket. When microwave current is generated by a microwave source and delivered through the conduit, it causes the helical antenna to radiate microwave energy which causes the heating of adjacent living tissue.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The character of the invention, however, may be best understood by reference to one of its structural forms, as illustrated by the accompanying drawings, in which:

FIG. 1 is a cross-sectional view of a system incorporating the principles of the present invention, and

FIG. 2 is a schematic diagram of the microwave generator employed in the present invention.

### DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring first to FIG. 1, in which are shown the overall features of the present invention, the probe 10 of the system is intended to be inserted into a body cavity 30 or duct of a living organism 31 for treating target tissue 32 within the body with radio-frequency energy in the microwave frequency band, and with nuclear radiation. This insertion would normally be accomplished by feeding the probe through the lumen of a conventionally pre-placed catheter. The probe 10 is fixed to the end of a coaxial line or conduit 12. The coaxial line comprises the usual central conductor 14, outer conductor or jacket 16, and dielectric 18 between them. The conduit provides radio-frequency input (microwave), to the probe 10. In the preferred embodiment, the conduit ends at the end 19 of the conductive jacket 16. That portion 15 of the central conductor 14 which extends beyond the end 19 of the conductor 16 will be considered a part of the radiator 11. Portion 15 extends coaxially beyond the end of the jacket 16 and has an end 21. A coil or coils of a third helical conductor 17 surround the end portion 15 and dielectric 18 which envelopes the portion 15. The third conductor 17 is, by conductive connection, connected in series between the end 19 of the outer conductor 16 and the end 21 of the end portion 15. The radiator 11 is formed of the helix 17 and the portion 15. A smooth insulating dielectric sleeve 22 surrounds the helix 17 and the immediately-adjacent portion of the outer conductor 16.

It will be understood by those familiar with the art of microwave radiators that, while the helical antenna is preferred in this situation, monopole or other antenna structures could be employed.

In order to provide the source of nuclear radiation, some portion of the probe 10 and preferably of the radiator 11 will be formed of a radioactive substance, preferably iridium<sup>192</sup>.

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In operation, the probe 10 would be inserted into a cavity 30 of a living organism 31 and positioned adjacent or within the target tissue 32. The microwave generator 33 would be activated. This would cause the conduit to convey microwave frequency current to the radiator and cause the radiator to radiate microwave energy into the target tissue 32 (and other adjacent tissue). Simultaneously, the portion of the radiator which is formed of radioactive material would be radiating nuclear radiation which would also irradiate the target tissue 32.

A 915 MHz computer controlled microwave generator 33 (FIG. 2) will consist of a crystal controlled oscillator 41 driving a GaAs preamplifier 42. The signal will then be divided in phase by a 4-way power divider 43 and each channel will be amplified by a 25 watt power amplifier (e.g. 44) to provide four channels of synchronous 25 watts output each. Each channel may be adjusted manually or by computer to any desired output power. Since the outputs are synchronous they may be combined to produce a total of 100 watts. The forward and reflected power will be monitored for each of the four channels with a power detector 45, circulator 46, and reverse power detector 47. These power measurements are displayed through a radiometer 48 as a percentage of the actual power.

It should be understood that, while 915 MHz is the preferred frequency for this application, other microwave frequencies may be employed.

A number of aspects are important to the design of the radiator itself.

The physical aspects of the antenna affecting optimization are the heating pattern, the irradiation pattern and the fabrication techniques. In general, the heating pattern of helical antennas has been established. However, it is recognized that using Ir wire in place of copper (since Ir has a higher resistivity) may require slight modifications to the helical pattern. The nuclear irradiation pattern which is optimal results from a configuration in which only the helix 17 is formed of Iridium. Two alternate configurations are possible. The center conductor portion 15, only, could be made of Ir or both the center conductor portion 15 and the helix 17 could be made of Ir.

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In the preferred embodiment, the helical antenna 17 would be fabricated using stable Ir and then the Ir portion of the antenna would be activated by neutron activation. This would mean that the entire antenna could be fabricated with no radiation hazards. The rationale for this approach is that Ir occurs in nature composed of two stable isotopes, Ir<sup>191</sup> (37%) and Ir<sup>193</sup> (63%). Ir<sup>191</sup> has a neutron cross section of 300 barns and Ir<sup>193</sup> has a cross section of 110 barns. Under neutron activation, Ir<sup>193</sup> goes to Ir<sup>194</sup> which decays with a half life of 19.2 hours and Ir<sup>191</sup> goes to Ir<sup>192</sup> whose decay scheme is attached with photon energies ranging from 300-610 KeV (average energy of 350 KeV) and a half life of 74.2 days. In fact, for radiotherapy work after neutron activation, the Ir wire is stored to allow Ir<sup>194</sup> to decay to low levels since its decay scheme is not desired in treatments. The two other materials present in the antenna would be copper (Cu) and teflon. The components of teflon all have neutron cross sections measured in millibarns and will therefore not produce any substantial unstable isotopes. The Cu portions of the antenna will be activated. Naturally occurring Cu consists of two isotopes, Cu<sup>63</sup> (69%) and Cu<sup>65</sup> (31%) with neutron cross sections of 4.5 barns and 2.3 barns, respectively. However, under neutron activation the isotopes produced, Cu<sup>64</sup> and Cu<sup>66</sup>, have half lives of 12.7 hours and 5.1 minutes, respectively. It is therefore possible to activate the entire antenna and, during the waiting period normally used to allow the Ir<sup>194</sup> to decay, all other unstable isotopes of appreciable quantity will also have decayed to suitable levels.

Although the above-described process is preferred, several alternate techniques are possible including:

1. making the center conductor portion 15 of Ir and then activating with neutron activation.
2. making the center conductor portion 17 hollow and inserting Ir<sup>192</sup>, just prior to treatment
3. fabricating the antenna with Ir<sup>192</sup> at a facility licensed to handle radioactive materials

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Three antenna designs are contemplated for use with the 915 MHz microwave generator. All three will be helical in three distinctly different sizes. The physical size of the helix in relation to the medium wavelength determines the antenna field patterns. The designed helical antennas will operate in one of two modes, the "normal mode" and the "axial mode". In the normal mode of operation, the actual coiling length of the helix is small compared to the medium wavelength and the maximum radiation is always in the direction normal to the helix axis. The normal mode antennas will be designed for circular polarization to ensure an even thermal pattern along side the antenna. In this case, the ratio of the circumference of the coils to the medium wavelength is equal to the square root of the product of two times the spacing between coils divided by the medium wavelength.

In the axial mode of operation, the circumference of the helix is to be approximately a wavelength for circular polarization and the optimum spacing is approximately one-quarter wavelength.

The physical size of the helix in relation to the medium wavelength determines the antenna field patterns. With two modes of operation and three possible antenna designs, the tumor site can be treated more optimally. The three antennas are fabricated with teflon-insulated copper tubing. The copper center conductor will be soldered or welded to the iridium helix at the tip. Thus, the helix itself will be formed using iridium wire. The antennas will be designed as follows:

1. The basic antenna which operates in the normal mode application with the copper helix replaced with iridium, and used on radial target tissue near the cavity,
2. An antenna with a slightly larger diameter to create a greater thermal radiation pattern in the normal mode, for use on radial target tissue further from the cavity,
3. A longer, slimmer antenna that operates in the axial mode.

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It is obvious that minor changes may be made in the form and construction of the invention without departing from the material spirit thereof. It is not, however, desired to confine the invention to the exact form herein shown and described, but is desired to include all such as properly come within the scope claimed.

5

The invention having been thus described, what is claimed as new and desired to secure by Letters Patent is:



CLAIMS

1. A microwave radiator formed of a radioactive substance.
2. A radiator as recited in Claim 1, wherein the radiator is a helical electrical conductor formed of a radioactive substance and electrically connecting a central electrical conductor of a coaxial conduit to a coaxial electrically-conducting jacket of the conduit.
3. A radiator as recited in Claim 1, wherein the substance is iridium<sup>192</sup>.
4. A probe, adapted to invade a living organism and to simultaneous deliver to tissue in the organism both microwave energy and nuclear radiation, the probe comprising:
  - (a) a coaxial conduit having a central conductor and a conducting jacket, and
  - (b) a microwave radiator attached to the conduit and formed of a radioactive substance.
5. A probe as recited in Claim 4, wherein the radiator is a helical electrical conductor formed of a radioactive substance and electrically connecting the central electrical conductor to the coaxial electrically-conducting jacket.
6. A probe as recited in Claim 4, wherein the substance is iridium<sup>192</sup>.

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7. A therapeutic system for simultaneous delivery of microwave radiation and nuclear radiation to living tissue, comprising:

- (a) a microwave current generator,
- (b) a coaxial conduit having a central conductor and a conducting jacket,  
and having a first end connected to the generator and a second end,  
and
- (c) a microwave radiator attached to the second end of the conduit and  
formed of a radioactive substance, said radiator being adapted to be  
placed adjacent living tissue.

8. A system as recited in Claim 7, wherein the radiator is a helical electrical conductor formed of a radioactive substance and electrically connecting a central electrical conductor to a coaxial electrically-conducting jacket.

9. A system as recited in Claim 7, wherein the substance is iridium<sup>192</sup>.

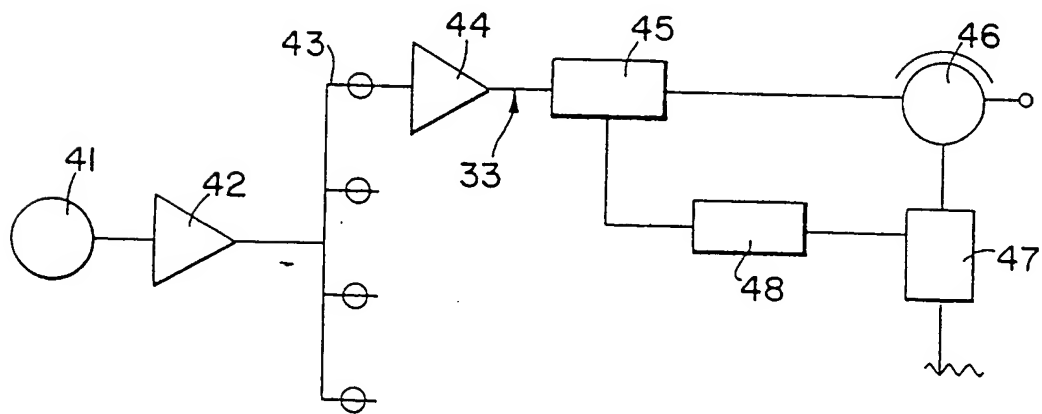
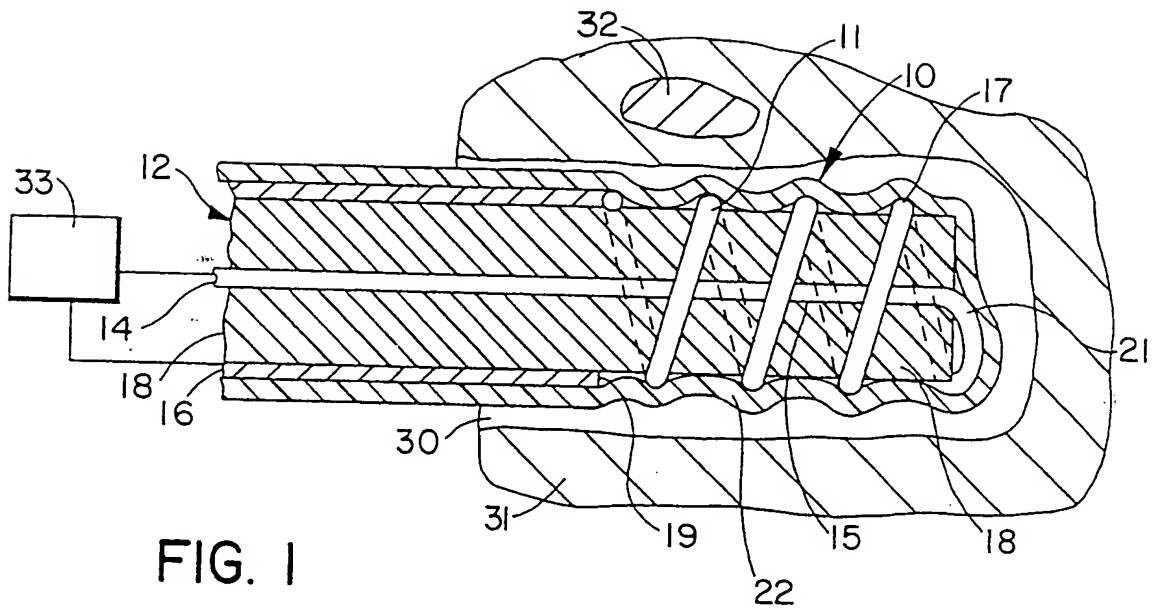
10. A method of medically treating living tissue comprising the steps  
of:

- (a) placing, adjacent the tissue, a microwave radiator formed of a  
radioactive substance, and
- (b) causing the radiator to simultaneously deliver microwave energy and  
nuclear radiation to the tissue.

11. A method as recited in Claim 10, wherein the radiator is a helical electrical conductor formed of a radioactive substance.

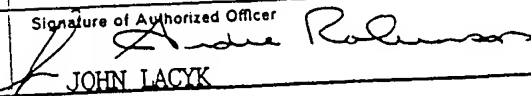
12. A method as recited in Claim 10, wherein the substance is  
iridium<sup>192</sup>.

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# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/09458

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC(5): A61N 5/02 U.S. CL.: 600/002		
<b>II. FIELDS SEARCHED</b> Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
U.S. CL.	600/1-3,6-8 128/804	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	US,A, 4,292,960 PAGLIONE 06 OCTOBER 1981	1-12
A	US,A, 3,927,325 HUNGATE et al. 16 DECEMBER 1975	1-12
A	US,A, 1,786,373 WALKER 23 DECEMBER 1930	1-12
A	WO,A, WO85/02779 GOFFINET 04 JULY 1985	1-12
<p> <sup>10</sup> Special categories of cited documents:            "A" document defining the general state of the art which is not considered to be of particular relevance            "E" earlier document but published on or after the international filing date            "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)            "O" document referring to an oral disclosure, use, exhibition or other means            "P" document published prior to the international filing date but later than the priority date claimed            "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention            "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step            "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.            "&amp;" document member of the same patent family         </p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search 24 MARCH 1992		Date of Mailing of this International Search Report 13 APR 1992
International Searching Authority ISA/US		Signature of Authorized Officer  JOHN LACYK